

DATE: January 22, 2019

CIRCULARS

RBI

- Auction of Government of India Dated Securities
- Auction for Sale (Issue / Re-issue) of Government Stock (GS)

NSE

- Revised list of Approved Securities and Approved Banks
- Revised list of Approved Securities and Approved Banks
- Settlement schedule on account of adjustment of Futures and Options contracts in the security KPIT - Physical Settlement in Equity Derivatives
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Exclusion of Futures and Options contract on JPASSOCIAT
- Proposed Offer for Sale of International Constructions Limited
- Settlement through Additional Delivery Centres in Commodity Derivatives Segment

BSE

- Standardization of Register of Securities, Holding Statement, Bank Book and Client Ledger – “Upload of Holding Statement”

- Registration of Authorised Person in new / additional segment and cancellation of Sub Broker registration
- Enhanced supervision: Monitoring of Clients' Funds lying with the Stock Broker for the week ended January 18, 2019.
- Applicability of Short Term Additional Surveillance Measure (ST-ASM)
- Applicability of Additional Surveillance Measure

ICEX

- No New Circular

MCX

- No New Circulars

SEBI

- Adjudication Order against Mr. Ram Swaroop Nakra in the matter of ABG Shipyard Limited
- Adjudication order in respect of Pumarth Commodities (P) Limited in the matter of Illiquid Stock Options at BSE
- Order in the matter of Hasti Finance Ltd. w.r.t. Parag Kalwankar.
- Adjudication Order in respect of Amit Kumar in the matter of Pressman Advertising Ltd
- Settlement Order in respect of S M Sheti Seva Private Ltd., in the matter of Dalal Street Investments Ltd.,
- Settlement Order in respect of Resham Resha Private Ltd., in the matter of Dalal Street Investments Ltd.,
- Adjudication Order in respect of Nupur Agarwal in the matter of Pressman Advertising Ltd

NSDL

- No New Circulars

NCDEX

- Modification in contract launch calendar – Soybean (SYBEANIDR) futures contract – Availability of August 2019 and September 2019 expiry contract
- Revision in Warehouse Space Reservation (WSR) timelines
- TM – CM Agreement – Side Letter

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